

14. (New) A device, comprising:

a data estimator for determining a first detection solution;

an estimate improver for determining an improved detection solution; and

a decision circuit for deciding whether to continue an iteration.

15. (New) The device according to claim 14, wherein:

the estimate improver includes a unit for calculating an estimated transmission signal, a unit for calculating standardized approximation term  $\delta \cdot \underline{g}$ , and

an adder for calculating an improved estimate.

16. (New) The device according to claim 15, wherein:

the unit for calculating standardized approximation term  $\delta \cdot \underline{g}$  includes a unit for calculating approximation term  $\underline{g}$ , a unit for calculating standardization factor  $\delta$ , and a multiplier for calculating the standardized approximation term. b)

#### Remarks

This Preliminary Amendment cancels original claims 1 to 9, without prejudice, in the underlying PCT Application No. PCT/DE99/02156, and cancels substitute claims 1-8, without prejudice. The Preliminary Amendment also adds new claims 9-16. The new claims conform the claims to U.S. Patent and Trademark Office rules and do not add new matter to the application.

In accordance with 37 C.F.R. § 1.121(b)(3), the Substitute Specification (including the Abstract, but without the claims) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the Specification and Abstract to U.S. Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. § 1.121(b)(3)(iii) and § 1.125(b)(2), a Marked Up Version Of The Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. Approval and entry of the Substitute Specification (including Abstract) are respectfully requested.

The underlying PCT Application No. PCT/DE99/02156 includes an International Search Report, dated January 28, 2000, and an International Preliminary Examination Report dated December 14, 2000, copies of which are submitted herewith.

Applicant asserts that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully Submitted,

KENYON & KENYON

By: Richard L. Mayer (Reg. No. 41,172)

By: Richard L. Mayer

Richard L. Mayer  
(Reg. No. 22,490)

One Broadway  
New York, NY 10004  
(212) 425-7200

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## METHOD AND DEVICE FOR DETECTING CDMA-CODED SIGNALS

Field Of The Invention

The present invention relates to a method and a device for detecting CDMA-coded signals.

Background Information

5 CDMA offers the possibility of combining multiple discrete-time data streams into a total signal such that the original data streams can be recovered from this signal. Data streams can be recovered if the CDMA-coded signals are modified by different linear filters. The simplest possibility of data detection is called a matched filter. Better results are obtained with a RAKE receiver, as described, for example, by A. Salmasi, K. S. Gilhousen: "On the system  
10 design aspects of code division multiplex access (CDMA) applied to digital cellular and personal communications network," *Proc. IEEE Conf. Veh. Technol.*, St. Louis, MO, USA, May 1991, pages 57-62. These detectors require only a knowledge of the code of the data stream to be detected. If the codes of the data streams not to be detected are also known, interference caused by foreign data streams (due to non-orthogonal codes) can be determined  
15 and eliminated. CDMA detection can be improved significantly by appropriate multiuser methods. In addition to the optimal maximum likelihood detector, described, for example, by W. van Atten: "Maximum likelihood receiver for multiple channel transmission systems," *IEEE Trans. Commun.*, vol. 24 (1976) 276-283, several suboptimal methods are known, such as those described by W. Sauer-Greff and R. A. Kennedy: "Suboptimal MLSE for distorted  
20 multiple-access channels using the M-algorithm," *Proc. Aachener Kolloquium Signal Theorie*, Aachen, March 1994, 267-270; K. S. Schneider: "Detection of code division multiplexed signals," *IEEE Trans. Aerosp. Electron. Syst.*, vol. AES-15 (1979), 181-185; R. Lupas and S. Verdu: "Linear multi-user detectors for synchronous code division multiple-access channels," *IEEE Trans. Inform. Theory*, vol. 35 (1989) 123-136; Z. Xie, R. T.  
25 Short and C. K. Rushforth: "A family of suboptimum detectors for coherent multi-user communications," *IEEE J. Select. Areas Commun.*, vol. 8 (1990), 683-690; and A. Duel-Hallen: "Decorrelating decision-feedback multiuser detector for synchronous

If the pulse responses of the transmission channels of the coded data streams are known, interference caused by filtering can also be eliminated, as described by J. Salz: "Digital transmission over cross-coupled linear channels," *Bell Syst. Tech. J.*, vol. 64 (1985), 1147-1159; A. Duel-Hallen: Equalizers for multiple input/multiple output channels and PAM systems with cyclostationary input sequences," *IEEE J. Select. Areas Commun.*, vol. 10 (1992) 630-639; and M. L. Honig, P. Crespo and K. Steiglitz: "Suppression of near- and far-end crosstalk by linear pre- and post-filtering," *IEEE J. Select. Areas Commun.*, vol. 10 (1992), pages 614-629.

The greatest disadvantage of multiuser detectors is their high computational complexity. Even suboptimal methods often cannot be implemented because of the computational complexity. On the other hand, the RAKE receiver, which is simple in computing terms, offers greatly inferior detection results.

#### Summary Of The Invention

Therefore, [the] an object of the present invention is to create a multiuser detection method and a corresponding device based on available computing capacity.

[This object is achieved by the method having the features of Claim 1 and the device having the features of Claim 7. Preferred embodiments of the present invention are the object of the subordinate claims.]

The method according to the present invention for detecting CDMA-coded signals  $\underline{d} = (\underline{d}^{(1)}, \dots, \underline{d}^{(K)})$ , where  $\underline{d}^{(k)} = (\underline{d}_1^{(k)}, \dots, \underline{d}_M^{(k)})$ ,  $k = 1, \dots, K$ , includes the following steps:

- a) determining a first detection solution  $\hat{\underline{d}}(1)$  of CDMA-coded signals  $\underline{d}$ ;
- b) calculating an (n+1)-th detection solution  $\hat{\underline{d}}(n+1)$  by assigning  $\hat{\underline{d}}(n+1) = f(\hat{\underline{d}}(n))$  as a function of n-th detection solution  $\hat{\underline{d}}(n)$  for  $n = 1, \dots, N$ , where iteration for large values of

n converges toward multiuser solution  $\hat{\underline{d}}_{\text{MU}}$ , i.e.,

$$f(\hat{\underline{d}}(n)) \xrightarrow{n \rightarrow \infty} \hat{\underline{d}}_{\text{MU}},$$

c) if the quality of approximation solution  $\hat{\underline{d}}(n+1)$  is not sufficient, letting  $n \rightarrow n+1$  and continuing the procedure with step b);

5 d) if the quality of solution  $\hat{\underline{d}}(n+1)$  is sufficient, terminating the procedure and using  $\hat{\underline{d}}(n+1)$  as the estimate of data  $\underline{d}$  to be detected.

10 Symbols  $\underline{d}^{(k)}$  to be transmitted can represent continuous or discrete data, the symbols preferably assuming values  $\underline{d}^{(k)} = \pm 1, \pm i$  in the case of QPSK (quaternary phase shift keying) modulation.

The process preferably converges toward the solution of the zero forcing block linear estimator for  $n \rightarrow \infty$ .

15 Furthermore, the function of step b) can be formed by

$$f(\hat{\underline{d}}) = \hat{\underline{d}} + \delta \cdot \underline{g}$$

with a standardization factor

20

$$\delta = \frac{\|\underline{g}\|^2}{\|A \cdot \underline{g}\|^2}$$

and an approximation term

25

$$\underline{g}^{T=A^H} \cdot (\underline{s}^T - A \cdot \hat{\underline{d}}^T),$$

where matrix A is given by

$$A = \begin{bmatrix} b^{(1)}_1 & 0 & \dots & \\ \vdots & \vdots & & \\ b^{(1)}_Q & 0 & & \vdots \\ \vdots & b^{(1)}_1 & & \\ b^{(1)}_{Q+W-1} & \vdots & & 0 \\ 0 & b^{(1)}_{Q+W-1} & & b^{(K)}_1 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & b^{(K)}_{Q+W-1} \end{bmatrix}$$

5 with  $\underline{b}^{(k)} = \underline{c}^{(k)} * \underline{h}^{(k)}$

where  $\underline{c}^{(k)}$  denotes the K different codes and  $\underline{h}^{(k)}$  denotes the pulse responses of the K different linear transmission channels.

10 Solution  $\hat{\underline{d}}^T(1) = A^H \cdot \underline{s}^T$  of the RAKE receiver is preferably used as the 1-st detection solution for starting the iteration. Furthermore, the first detection solution for starting the iteration can be set to zero.

15 A device according to the present invention for carrying out the method described above includes a data estimator for determining a first detection solution, an estimate improver for determining an improved detection solution and a decision circuit for deciding whether to continue the iteration.

20 The estimate improver preferably includes a unit for calculating an estimated transmission signal, a unit for calculating standardized approximation term  $\delta \cdot \underline{g}$  and an adder for

calculating the improved estimate. The unit for calculating standardized approximation term  $\delta \cdot \underline{g}$  may have a unit for calculating approximation term  $\underline{g}$ , a unit for calculating

standardization factor  $\delta$  and a multiplier for calculating the standardized approximation term.

5 A special advantage of the method according to the present invention is that the method requires only as much computing capacity as is available. The solution supplied is better than the solution of a RAKE receiver. If the available computing capacity is sufficient, the solution of the method according to the present invention approximates the solution of the multiuser method, i.e., the solution of the multiuser method is approximated by the method  
10 according to the present invention. By stipulating the time consumed by the method, it can be adapted easily to different complex detection functions, e.g., in the case of time-variable transmission channels of the CDMA system.

[A preferred embodiment of the present invention is explained below with reference to the drawings.] Brief Description Of The Drawings  
15

Figure 1 shows a flow chart of the method according to the present invention[.].

Figure 2 shows a structural diagram of the detector[, and].

20 Figure 3 shows a diagram of the estimate improver of the embodiment according to Figure 2.

#### Detailed Description

However, before giving a detailed explanation of the figures, the theory of the method will be outlined briefly.

25 Let there be K different discrete-time digital data streams

$$\underline{d}^{(k)} = (d^{(k)}_1, d^{(k)}_2, \dots, d^{(k)}_M)$$

30 Symbols  $\underline{d}^{(k)}$  to be transmitted may represent continuous or discrete data. Digital data is preferably represented by linear modulation on discrete complex values of symbols  $\underline{d}^{(k)}$ , the

symbols assuming values of  $\underline{d}^{(k)} = \pm 1$  or  $\pm i$ , for example, in QPSK (quaternary phase shift keying) modulation.

Let these be CDMA coded with K different codes accordingly

5

$$\underline{c}^{(k)} = (c^{(k)}_1, \dots, c^{(k)}_Q)$$

to:

10

$$\underline{a}^{(k)} = (d^{(k)}_1 \cdot c^{(k)}_1, \dots, d^{(k)}_1 \cdot c^{(k)}_Q, \dots, d^{(k)}_M \cdot c^{(k)}_1, \dots, d^{(k)}_M \cdot c^{(k)}_Q)$$

Let these K signals be filtered through K different linear transmission channels having the pulse responses as follows:

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$$\underline{h}^{(k)} = (h^{(k)}_1, \dots, h^{(k)}_W)$$

to:

$$\underline{s}^{(k)} = \underline{a}^{(k)} * \underline{h}^{(k)}$$

20

Transmitted signals  $\underline{s}^{(k)}$  and an additional noise  $\underline{n}$  are added up to a total signal:

$$\underline{s} = \sum_{k=1}^K \underline{s}^{(k)} + \underline{n}.$$

25

With



$$\underline{d} = (\underline{d}^{(1)}, \dots, \underline{d}^{(K)}),$$

$$A = \begin{bmatrix} b^{(1)}_1 & 0 & \dots & \\ \vdots & \vdots & & \\ b^{(1)}_Q & 0 & & \vdots \\ \vdots & b^{(1)}_1 & & \\ b^{(1)}_{Q+W-1} & \vdots & & 0 \\ 0 & b^{(1)}_{Q+W-1} & & b^{(K)}_1 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & b^{(K)}_{Q+W-1} \end{bmatrix}$$

and

5

$$\underline{b}^{(k)} = \underline{c}^{(k)} * \underline{h}^{(k)}$$

$\underline{s}$  can be expressed by a linear equation system:

10  $\underline{s}^T = A \cdot \underline{d}^T + \underline{n}^T$

where  $\underline{s}^T$  is the transposed term of vector  $\underline{s}$ .

Transmitted data streams can be estimated by a RAKE receiver from this receiver signal:

15

$$\hat{\underline{d}}^T = A^H \cdot \underline{s}^T$$

where  $A^H$  is the complex conjugated matrix transposed to  $A$ , and  $\hat{\underline{d}}$  is the estimate of the data bits transmitted.

Better results are obtained with a multiuser method such as the zero forcing block linear estimator:

$$\hat{\underline{d}}^T = (\underline{A}^H \cdot \underline{A})^{-1} \cdot \underline{A}^H \cdot \underline{s}^T.$$

5

This solution may be approximated by iteration by repeated use of the following representation:

$$\underline{\hat{d}} \mapsto \underline{\hat{d}} + \delta \cdot \underline{g}$$

10

where

$$\delta = \frac{\|\underline{g}\|^2}{\|\underline{A} \cdot \underline{g}\|^2}.$$

15

and

$$\underline{g}^T = \underline{A}^H \cdot (\underline{s}^T - \underline{A} \cdot \underline{\hat{d}}^T).$$

This method therefore includes the following steps:

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- i) Set  $\underline{\hat{d}}$  to first estimate  $\underline{\hat{d}}^{(1)}$  for  $\underline{d}$ .
- ii) Set  $\underline{\hat{d}}$  to improved ((n+1)-th) estimate  $\underline{\hat{d}} + \delta \cdot \underline{g}$  with

25

$$\delta = \frac{\|\underline{g}\|^2}{\|\underline{A} \cdot \underline{g}\|^2} \text{ and } \underline{g}^T = \underline{A}^H \cdot (\underline{s}^T - \underline{A} \cdot \underline{\hat{d}}^T).$$

iii) If no more computing time is available,  $\hat{\underline{d}}$  is assumed to be an estimate for transmitted data  $\underline{d}$ ; otherwise the iteration is continued with step ii).

If  $\hat{\underline{d}}_i^{(k)} = 0$  is assumed as starting values for data to be detected, this method yields the solution of the RAKE receiver in the first step.

This method is illustrated in Figure 1. The total signal, the required codes and the channel pulse responses [must be] are available as input E. In a first step 1, detection solution  $\hat{\underline{d}}$  is set to a first estimate  $\hat{\underline{d}}(1)$  of transmitted data  $\underline{d}$ . In a second step 2, detection solution  $\hat{\underline{d}}(2)$  is set to an improved estimate  $\hat{\underline{d}}(1) + \delta \cdot g$  using the definitions given above for  $\delta$  and  $g$ . Step 3 which follows determines whether no more computing time is available or whether the improvement is sufficient. If this is the case, the iteration is terminated and the improved estimate is used as the solution. However, if more computing time is available or if the improvement is not sufficient, the process returns to second step 2, the improved estimate is used as the starting value and another iteration step is performed.

Figure 2 shows the structure of a detector which carries out the method described above. Input quantities include received signal  $\underline{s}$  as well as codes  $\underline{c}^{(k)}$  and pulse responses  $\underline{h}^{(k)}$ . In a data estimator 4, a first estimate of the data is made on the basis of these input quantities. This first estimate is entered into an estimate improver 5 via a double-throw switch 7. The first estimate of first data estimator 4 is improved in estimate improver 5 by using the method described above. A decision circuit 6 determines whether no more computing time is available or whether the improvement in the estimate is sufficient. In the case when more computing time is available and the improvement is not sufficient, the result of the first improvement is again sent as a new input value to the input of estimate improver 5 via double-throw switch 7, which is thrown. Therefore, iteration is continued. Otherwise, the estimate thus determined is used as the value of the data to be detected. Furthermore, the detector includes a convolution calculator 8 which calculates quantity  $\underline{b}^{(k)}$  from codes  $\underline{c}^{(k)}$  and channel pulse responses  $\underline{h}^{(k)}$ .

Figure 3 shows a block diagram of a preferred embodiment of estimate improver 5 of Figure 2. In a unit 9 for calculating the estimated transmission signal, the n-th estimate of the

transmission signal is calculated with n-th estimate  $\hat{\underline{d}}(n)$  of the data by calculating  $A \cdot \hat{\underline{d}}(n)$

and then is subtracted from received transmission signal  $\underline{s}$  in a subtractor 10. In a unit 11,

5 standardized approximation term  $\delta \cdot \underline{g}$  is calculated from the difference. This unit 11

includes a computation unit 12 for multiplying the difference by transposed matrix  $A^T$ ,

thereby calculating n-th approximation term  $\underline{g}$ . Furthermore, in a standardization unit 13,

standardization factor  $\delta$  is calculated from this term using the definition equation given

above. Standardized approximation term  $\delta \cdot \underline{g}$  is calculated in a multiplier 14 as the product

10 of standardization factor  $\delta$  and approximation term  $\underline{g}$ . Then (n+1)-th estimate  $\hat{\underline{d}}(n+1)$  is

formed in an adder 15 by addition of n-th estimate  $\hat{\underline{d}}(n)$  and standardized (n)-th

approximation term  $\delta \cdot \underline{g}$ .

Abstract Of The Disclosure

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Multiuser methods of detecting CDMA-coded signals cannot be used in practice because of  
5 their high computational complexity. However, the RAKE receiver, which is simple in  
computing terms, offers greatly inferior detection results. [

]Through an iterative approximation of multiuser solutions, [the method according to the  
present invention reduces] a reduction in computing complexity is achieved in comparison  
with traditional multiuser methods. A first estimate of coded data is improved by iteration  
10 either until a quality criterion is met or until no more computing time is available. [

]The field of application of this method includes, in particular, situations where  
CDMA-coded signals transmitted linearly are to be detected, in particular in the mobile  
wireless field when using CDMA methods.

[  
(Figure 1)]